

Background & Reference Check Requirement

The Federal Crimes Bill requires a background check on all candidates applying for a position in the financial services field. Fill in the names and best phone numbers of 6 people who would be willing to give a reference for you. It would be a good idea to list people that not only would give you a good reference, but would also be credible candidates to hear about our firm. A phone call will be made to each reference from the Vice President's office or a Certified Field Trainer, within the first 48 hours of obtaining them.

The RVP will NOT approve any Associate until the references are attached to their permanent record file. (If deficiencies are on the IBA, the IBA will not be processed until they are cured.)

*** YOU MAY DO MORE THAN THE REQUIRED (6) IF YOU DEEM NECESSARY OR APPROPRIATE.*

Candidate Name: _____

Character References

Name at least 6 (**six**) LOCAL references, not related to you, who can comment on your professional Work Performance and Character.

Name	Occupation	Cell Phone	Home Phone	Work Phone	Years Known
1.	_____	_____	_____	_____	_____
2.	_____	_____	_____	_____	_____
3.	_____	_____	_____	_____	_____
4.	_____	_____	_____	_____	_____
5.	_____	_____	_____	_____	_____
6.	_____	_____	_____	_____	_____
7.	_____	_____	_____	_____	_____
8.	_____	_____	_____	_____	_____
9.	_____	_____	_____	_____	_____
10.	_____	_____	_____	_____	_____